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# JUN 0 5 2006

CERTIFICATION OF FACSIMILE TRANS	SMISSION
I hereby certify that this paper is being factimile transmitted to the Patent and	
Type or print name of person signing cert	Afication
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Signature	Date

<u>PATENT APPLICATION</u> IE UNITED STATES PATENT<u>AND TRADEMARK OFFICE</u>

Applicants : Cohen, et al.

Serial Number : 10/785,326

Filed: : February 24, 2004 ) Group Art Unit: 1614

For : METHODS OF PREVENTING )

BREAST CANCER ) Examiner:

) Delacroix Muirhei

Docket No. : X-11057C

# AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### 1. Amendment and Petition

This amendment and petition is to add the name of the following person originally not named as inventor and who is a co-inventor of the invention now being claimed: Michael William Draper.

# 2. Statement Regarding Lack of Deceptive Intent

I, Michael William Draper, do hereby declare that the error in inventorship, corrected by this amendment and petition, occurred without deceptive intent on my part.

06/07/2006 CNGUYEN2 00000001 050840 10785326

130.00 DA

01 FC:1051

Michael William Draper

Serial No. 10/785,326

- 2 -

X11057C

# 3. Oath or Declaration

Declarations under 37 C.F.R. §1.63 executed by Fredric J. Cohen, Robert S. Eckert, Joan E. Glusman, Ronald K. Knickerbocker, Nikolaus T. Nickelsen, Teri J. Scott and Michael W. Draper accompany this paper.

#### 4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840 in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

#### 5. Consent by Assignee

A paper evidencing the consent by the assignee of this application, Eli Lilly and Company, to add Michael William Draper as an inventor accompanies this amendment and petition.

Respectfully submitted,

Gilbert T. Voy

Attorney for Applicant Registration No. 43,972

Phone: 317-276-2966

Eli Lilly and Company Patent Division/GTV Lilly Corporate Center Indianapolis, Indiana 46285

# CENTRAL FAX CENTER

# JUN 0 5 2006



Fax

El Lilly and Company Lilly Corporate Center Indianapolis, Indiana 46285 U.S.A.

# Legal Division - Patent Department

To:

USPTO

From: Gilbert T. Voy

Fax No.:

571-273-8300

Fax No.: 317-276-3861

Date:

June 5, 2006

Phone No.: 317-276-2966

<del>全年本本本本本本本本本本本本本本本本本本本本本本本本本本本本本本本本本本本</del>

Re:

Serial No. 10/785,326

No. Pages: 22

Our File No. X-11057C

Please find enclosed the following documents for your consideration:

- 1. Amendment and Petition to Correct Inventorship Under 37 C.F.R. 1.48(a)
- 2. Consent by Assignee Under 37 C.F.R. 1.48
- Four (4) Declarations
- 4. Two (2) Declarations and Powers of Attorney

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JUN 0 5 2006

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** Cohen, et al.

10/785,326 Serial Number

Group Art Unit: Filed: February 24, 2004 1614

METHODS OF PREVENTING For

Examiner: **BREAST CANCER** Delacroix Muirhei

: X-11057C Docket No.

CONSENT BY ASSIGNEE UNDER 37 C.F.R. 1.48

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Eli Lilly and Company, a corporation of Indiana, as assignee of the entire right, title, and interest in and to the above-identified patent application by virtue of the assignments of coinventors Fredric Jay Cohen, Robert Stephen Eckert, Joan Ellen Glusman, Ronald Keith Knickerbocker, Nikolaus Thomas Nickelsen, and Teri Janine Scott hereby consents to the joining of Michael W. Draper as coinventor of the invention claimed in the above-captioned application as sought in the accompanying Petition to Correct Inventorship.

Respectfully submitted,

ELI LILLY AND COMPANY

Lawrence T. Welch

**Assistant General Patent Counsel** 

Registration No. 29,487 Phone: 317-433-1651

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

19 Jayvary 2006

#### DECLARATION

# Docket No. X11057C

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the invention entitled

# METHODS OF PREVENTING BREAST CANCER

which is described and claimed in the specification which:

is attached hereto.
one) was filed on February 24, 2004 as United States Application Serial No.
10/785,326, and was amended on February 24, 2004 and March 21, 2005.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined 37 C.F.R. 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

- Date: 1 31/06

Full Name of

First Inventor

: Fredric J. Cohen

Inventor's Signature

Residence Address

: 15 Autumn Drive

Newtown, PA 18940

Post Office Address

: Same as Above

Citizenship

: United States of America

Full Name of

Citizenship

Second Inventor	: Robert S. Eckert	
Inventor's Signature	;	Date:
Residence Address	: Route 4 Box 4394 Brazoria, TX 77422	
Post Office Address	: Same as Above	
Citizenship	: United States of America	
Full Name of Third Inventor	: Joan E. Glusman	
Inventor's Signature	:	_ Date:
Residence Address	: 15 Skysail Court Jamestown, RI 02835	
Post Office Address	: Same as Above	
Citizenship	: United States of America	
Full Name of Fourth Inventor	: Ronald K. Knickerbocker	
Inventor's Signature	:	Date:
Residence Address	: 512 Castlebury Drive Saline, MI 48176	
Post Office Address	: Same as Above	
Citizenship	: United States of America	

Full Name of

Fifth Inventor	: NIKOJAUS 1. TAJOROJSOM
Inventor's Signature	Date:
Residence Address	: SODENER WEG 42 65812 BAD SODEN AM TAUNUS Germany
Post Office Address	: Same as Above
Citizenship	: Germany
Full Name of Sixth Inventor	; Terri J. Scott
Inventor's Signature	: Date:
Residence Address	: 1338 Annapolis Dr. Westfield, IN 46074
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Seventh Inventor	: Michael William Draper
Inventor's Signature	Date:
Residence Address	: 13474 Dallas Lane Carmel, Indiana 46032
Post Office Address	: Same as Above
Citizenship	: United States of America

# DECLARATION

# Docket No. X11057C

	Docket No. X11037C		
As a below named	inventor, I hereby declare that:		
My residence, post office address and citizenship are as stated below next to my name.			
I believe I am an o	riginal, first and joint inventor of the invention entitled		
	METHODS OF PREVENTING BREAST CANCER		
which is described	and claimed in the specification which:		
(61.551.	attached hereto. s filed on February 24, 2004 as United States Application Serial No. 785,326, and was amended on February 24, 2004 and March 21, 2005.		
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.			
I acknowledge the duty to disclose information which is material to patentability as defined 37 C.F.R. 1.56.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.			
Full Name of First Inventor	: Fredric J. Cohen		
Inventor's Signat	ture : Date:		
Residence Addre	ess : 15 Autumn Drive Newtown, PA 18940		
Post Office Add	ress : Same as Above		
Citizenship	: United States of America		

Full Name of	
Second Inventor	: Robert S. Eckert
Inventor's Signature	: Styling Date: 28 Jan 2006 : Route & Box 4384 21 Cutter Green Drive
Residence Address	: Route 4Box 4384 21 Cutter Green Drive 2 Brazoria, TX 77422 San Antonio TX 78248
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Third Inventor	: Joan E. Glusman
Inventor's Signature	: Date:
Residence Address	: 15 Skysail Court Jamestown, RI 02835
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Fourth Inventor	: Ronald K. Knickerbocker
Inventor's Signature	: Date:
Residence Address	: 512 Castlebury Drive Saline, MI 48176
Post Office Address	: Same as Above
Citizenship	: United States of America

Citizenship

Full Name of

Fifth Inventor	: Nikolaus T. Nickelson
Inventor's Signature	: Date:
Residence Address	: SODENER WEG 42 65812 BAD SODEN AM TAUNUS Germany
Post Office Address	: Same as Above
Citizenship	: Germany
Full Name of Sixth Inventor	: Terri J. Scott
Inventor's Signature	: Date:
Residence Address	: 1338 Annapolis Dr. Westfield, IN 46074
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Seventh Inventor	: Michael William Draper
Inventor's Signature	: Date:
Residence Address	: 13474 Dallas Lane Carmel, Indiana 46032
Post Office Address	: Same as Above
Citizenship	; United States of America

#### **DECLARATION**

#### Docket No. X11057C

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the invention entitled

	I believe I am an original, first and joint inventor of the inventor of the inventor		
	METHODS OF PREVENTING BREAST CANCER		
	which is described and claimed in the specification which:		
	(check is attached hereto. one) is attached hereto. was filed on February 24, 2004 as United States Application Serial No. 10/785,326, and was amended on February 24, 2004 and March 21, 2005.		
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.			
I acknowledge the duty to disclose information which is material to patentability as defined 37 C.F.R. 1.56.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.			
	Full Name of First Inventor : Fredric J. Cohen		

Full Name of First Inventor	: Fredric J. Cohen	
Inventor's Signature	: Date:	
Residence Address	: 15 Autumn Drive Newtown, PA 18940	
Post Office Address	: Same as Above	
Citizenship	: United States of America	

Full Name of Second Inventor	: Robert S. Eckert
Inventor's Signature	Date:
Residence Address	: Route 4 Box 4394 Brazoria, TX 77422
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Third Inventor	: Joan E. Glusman
Inventor's Signature	- Joan E. Glusno Date: 3/29/06
Residence Address	: 15 Skysail Court Jamestown, RI 02835
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Fourth Inventor	: Ronald K. Knickerbocker
Inventor's Signature	: Date:
Residence Address	: 512 Castlebury Drive Saline, MI 48176
Post Office Address	: Same as Above

: United States of America

Citizenship

Full Name of Fifth Inventor	: Nikolaus T. Nickelson
Inventor's Signature	: Date:
Residence Address	: SODENER WEG 42 65812 BAD SODEN AM TAUNUS Germany
Post Office Address	: Same as Above
Citizenship	: Germany
Full Name of Sixth Inventor	: Terri J. Scott
Inventor's Signature	: Date:
Residence Address	: 1338 Annapolis Dr. Westfield, IN 46074
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Seventh Inventor	: Michael William Draper
Inventor's Signature	: Date:
Residence Address	: 13474 Dallas Lane Carmel, Indiana 46032
Post Office Address	: Same as Above
Citizenship	: United States of America

#### DECLARATION

#### Docket No. X11057C

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the invention entitled

# METHODS OF PREVENTING BREAST CANCER

which is described and claimed in the specification which:		
(check one)	is attached hereto. was filed on February 24, 2004 as United States Application Serial No. 10/785,326, and was amended on February 24, 2004 and March 21, 2005.	
I hereby state	that I have reviewed and understand the contents of the above identified	

specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined 37 C.F.R. 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First Inventor	: Fredric J. Cohen	
Inventor's Signature	:	_ Date:
Residence Address	: 15 Autumn Drive Newtown, PA 18940	
Post Office Address	: Same as Above	
Citizenship	: United States of America	

Full Name of Second Inventor	: Robert S. Eckert
Inventor's Signature	: Date:
Residence Address	: Route 4 Box 4394 Brazoria, TX 77422
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Third Inventor	: Joan E. Glusman
Inventor's Signature	Date:
Residence Address	: 15 Skysail Court Jamestown, RI 02835
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Fourth Inventor	: Ronald K. Knickerbocker
Inventor's Signature	: Brull & 9k Date: 3/ Jan 2006
Residence Address	: Bond R.g. Date: 21 Jan 2006  : 512 Castlebury Drive 2011 Via Vineda  Saline, MI 48176 San Antonio TX 78288

: Same as Above

: United States of America

Post Office Address

Citizenship

Full Name of

Fifth Inventor	: Nikolaus T. Nickelson
Inventor's Signature	: Date:
Residence Address	: SODENER WEG 42 65812 BAD SODEN AM TAUNUS Germany
Post Office Address	: Same as Above
Citizenship	: Germany
Full Name of Sixth Inventor	: Terri J. Scott
Inventor's Signature	: Date:
Residence Address	: 1338 Annapolis Dr. Westfield, IN 46074
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Seventh Inventor	: Michael William Draper
Inventor's Signature	: Date:
Residence Address	: 13474 Dallas Lane Carmel, Indiana 46032
Post Office Address	: Same as Above
Citizenship	: United States of America

# DECLARATION AND POWER OF ATTORNEY

#### Docket No.

	As a below named inventor, I hereby declare that:			
	My residence, post office address and citizenship are as stated below next to my name.			
	I believe I am an original, first and joint inventor of the invention entitled			
	1	METHODS OF PREVENTING BREAST CANCER		
	which is described ar	nd claimed in the specification which:		
	one) was fi	ched hereto. led on February 24, 2004 as United States Application Serial No. 5,326, and was amended on February 24, 2004 and March 21, 2005.		
	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.			
	I acknowledge the duty to disclose information which is material to patentability as defined 37 C.F.R. 1.56.			
	Power of Attorney: As a named inventor, I hereby appoint the attorneys and/or agent(s) associated with customer number 25885 to prosecute this application and transact all business in the Patent and Trademark Office.			
	Send correspondence to the address associated with the customer number.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.				
	Full Name of First Inventor	: Fredric J. Cohen		
	Inventor's Signature	: Date:		
	Residence Address	: 15 Autumn Drive Newtown, PA 18940		
	Post Office Address	: Same as Above		
	Citizenship	: United States of America		

Full Name of Second Inventor	: Robert S. Eckert	
Inventor's Signature	:	Date:
Residence Address	: Route 4 Box 4394 Brazoria, TX 77422	
Post Office Address	: Same as Above	
Citizenship	: United States of America	
Full Name of Third Inventor	: Joan E. Glusman	
Inventor's Signature	:	_ Date:
Residence Address	: 15 Skysail Court Jamestown, RI 02835	
Post Office Address	: Same as Above	
Citizenship	: United States of America	·
Full Name of Fourth Inventor	: Ronald K. Knickerbocker	•
Inventor's Signature	:	_ Date:
Residence Address	: 512 Castlebury Drive Saline, MI 48176	
Post Office Address	: Same as Above	
Citizenship	: United States of America	

Full Name of	Nikalana T. Nijalalaa
Fifth Inventor	: Nikolaus T. Nickelsen
Inventor's Signature	: T. W / LL & Date: 10 - DAN- 2006
Residence Address	: SODENER WEG 42 65812 BAD SODEN AM TAUNUS Germany
Post Office Address	: Same as Above
Citizenship	: Germany
Full Name of	
Sixth Inventor	: Terri J. Scott
Inventor's Signature	; Date:
Residence Address	: 1338 Annapolis Dr. Westfield, IN 46074
Post Office Address	: Same as Above
Citizenship	: United States of America
Full Name of Seventh Inventor	: Michael William Draper
Inventor's Signature	: Date:
Residence Address	: 13474 Dallas Lane Carmel, Indiana 46032
Post Office Address	: Same as Above
Citizenship	: United States of America

# DECLARATION AND POWER OF ATTORNEY

#### Docket No. X11057C

As a below named inventor, I hereby declare that:

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#### METHODS OF PREVENTING BREAST CANCER

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<u>Power of Attorney</u>: As a named inventor, I hereby appoint the attorneys and/or agent(s) associated with customer number 25885 to prosecute this application and transact all business in the Patent and Trademark Office.

Send correspondence to the address associated with the customer number.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First Inventor	; Fredric J. Cohen	
Inventor's Signature	:	_ Date:
Residence Address	: 15 Autumn Drive Newtown, PA 18940	
Post Office Address	: Same as Above	
Citizenship	: United States of America	

Full Name of Second Inventor	: Robert S. Eckert	
Inventor's Signature	:	_ Date:
Residence Address	: Route 4 Box 4394 Brazoria, TX 77422	
Post Office Address	; Same as Above	
Citizenship	: United States of America	
Full Name of Third Inventor	: Joan E. Glusman	
Inventor's Signature	:	_ Date:
Residence Address	: 15 Skysail Court Jamestown, RI 02835	
Post Office Address	: Same as Above	
Citizenship	: United States of America	
Full Name of Fourth Inventor	: Ronald K. Knickerbocker	
Inventor's Signature		Date:
Residence Address	: 512 Castlebury Drive Saline, MI 48176	
Post Office Address	: Same as Above	
Citizenship	: United States of America	

Fu	ıl	1	Name	of
	_			

Fifth Inventor

: Nikolaus T. Nickelson

Inventor's Signature

\_\_ Date: \_\_\_\_\_

iott Date: 23 Jan 2006

2006 Date: 17 Jan 2006

Residence Address

: SODENER WEG 42

65812 BAD SODEN AM TAUNUS

Germany

Post Office Address

: Same as Above

Citizenship

: Germany

Full Name of

Sixth Inventor

: Terri J. Scott

Inventor's Signature

Residence Address

Temp, Scou

: 1338 Annapolis Dr.

Westfield, IN 46074

Post Office Address

: Same as Above

Citizenship

: United States of America

Full Name of

Seventh Inventor

: Michael William Draper

Inventor's Signature

Residence Address

: 13474 Dallas Lane

Carmel, Indiana 46032

Post Office Address

: Same as Above

Citizenship

: United States of America

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